



Application, Part II  
Name of institution: \_\_\_\_\_  
State: \_\_

Part II. Application to Participate for Award Year July 1, 2001 through June 30, 2002

Section A. Request for funds for the 2001-2002 Award Year

- 1. Federal Perkins Loan Level of Expenditures \$ \_\_\_\_\_
- 2. Federal Perkins Loan Federal Capital Contribution \$ \_\_\_\_\_
- 3. FSEOG Federal funds \$ \_\_\_\_\_
- 4. FWS Federal funds \$ \_\_\_\_\_

Section B. Federal Perkins Loan Program Liquidation Request

(Applies only to certain institutions; see instructions)

- 5. My institution wishes to discontinue participation in the Federal Perkins Loan Program.  
 yes     no

Section C. Waiver Request for the Underuse of Funds

My institution has returned more than 10 percent of its Federal Perkins Loan, FSEOG, or FWS allocation for the 1999-2000 Award Year.

- 6. My institution wishes to apply for a waiver of the penalty for the underuse of funds and will provide a written explanation of the circumstances on the additional information screen.  
 yes     no

Application, Part II  
 Name of institution: \_\_\_\_\_  
 State: \_\_\_\_

**Section D. Information on Enrollment**

My institution has a traditional calendar  yes  no

Institutions with a traditional calendar that had 1999-2000 enrollment, fill in Field 7

Institutions with a non-traditional calendar that had 1999-2000 enrollment, fill in Fields 9 and 10

	(a) Undergraduate		(b) Graduate/Professional		(a) Continuing Students		(b) New Starts	
	_____	_____	_____	_____	9. July 1, 1999	_____	_____	_____
7. Total number of students, 1999-2000	_____	_____	_____	_____	10. Total student months	_____	_____	_____

Institutions that did not have 1999-2000 enrollment, fill in Field 8

	(a) Undergraduate	(b) Graduate/Professional
	_____	_____
8. Estimated number of students, 2000-2001	_____	_____

**Section E. Assessments and Expenditures**

	(a) Undergraduate	(b) Graduate/Professional
11. Total tuition and fees for the Award Year July 1, 1999 - June 30, 2000	\$ _____	\$ _____
12. Total Federal Pell Grant expenditures for the 1999-2000 Award Year	\$ _____	
13. Total expended for state grants and scholarships made to undergraduates for the Award Year July 1, 1999 to June 30, 2000	\$ _____	

**Section F. Information on eligible aid applicants for Award Year 1999-2000**

	D e p e n d e n t		I n d e p e n d e n t		
	(a)	(b)	(c)	(d)	(e)
	Undergraduate/ Baccalaureate		Undergraduate/ Baccalaureate		Professional
	Without 1st Professional	With Degree	Without 1st Professional	With Degree	
14. Students with an "Automatic" Zero EFC	_____	_____	_____	_____	_____

**Taxable & Untaxed Income**

15. \$ 0 - \$ 2,999	_____	_____
16. \$ 3,000 - \$ 5,999	_____	_____
17. \$ 6,000 - \$ 8,999	_____	_____
18. \$ 9,000 - \$ 11,999	_____	_____
19. \$ 12,000 - \$ 14,999	_____	_____
20. \$ 15,000 - \$ 17,999	_____	_____
21. \$ 18,000 - \$ 23,999	_____	_____
22. \$ 24,000 - \$ 29,999	_____	_____
23. \$ 30,000 - \$ 35,999	_____	_____
24. \$ 36,000 - \$ 41,999	_____	_____
25. \$ 42,000 - \$ 47,999	_____	_____
26. \$ 48,000 - \$ 53,999	_____	_____
27. \$ 54,000 - \$ 59,999	_____	_____
28. \$ 60,000 & over	_____	_____
29. T o t a l	_____	_____

**Taxable & Untaxed Income**

\$ 0 - \$ 999	_____	_____	_____
\$ 1,000 - \$ 1,999	_____	_____	_____
\$ 2,000 - \$ 2,999	_____	_____	_____
\$ 3,000 - \$ 3,999	_____	_____	_____
\$ 4,000 - \$ 4,999	_____	_____	_____
\$ 5,000 - \$ 5,999	_____	_____	_____
\$ 6,000 - \$ 7,999	_____	_____	_____
\$ 8,000 - \$ 9,999	_____	_____	_____
\$ 10,000 - \$ 11,999	_____	_____	_____
\$ 12,000 - \$ 13,999	_____	_____	_____
\$ 14,000 - \$ 15,999	_____	_____	_____
\$ 16,000 - \$ 17,999	_____	_____	_____
\$ 18,000 - \$ 19,999	_____	_____	_____
\$ 20,000 & over	_____	_____	_____
T o t a l	_____	_____	_____

Fiscal Operations Report, Part III

Name of institution: \_\_\_\_\_

State: \_\_\_\_\_

Part III. Federal Perkins Loan Program

Section A. - Fiscal Report (cumulative) as of June 30, 2000

Field	Item	(a)	No of Borrowers (b)	Debit Balances (c)	Credit Balances (d)
1.1	Cash on hand and in depository as of 6/30/2000				\$ _____
1.2	Cash on hand and in depository as of 10/31/2000	\$ _____			
2	Funds receivable from Federal government				\$ _____
3	Funds receivable from institution				\$ _____
4	Funds advanced to students				\$ _____
5	Loan principal collected				\$ _____
6	Loan principal assigned to and accepted by the United States				\$ _____
7	Total loan principal canceled on loans made prior to 07/01/1972 for teaching/military service				\$ _____
	<u>Loan principal canceled :</u>				
8	- for certain subject matter teaching service (math, science, foreign languages, bilingual education)				\$ _____
9	- for all other authorized teaching service				\$ _____
10	- for military service on loans made 07/01/1972 and after				\$ _____
11	- for volunteer service				\$ _____
12	- for law enforcement and corrections officer service				\$ _____
13	- for child/family/early intervention service				\$ _____
14	- for nurse/medical technician service				\$ _____
15	- for death/disability				\$ _____
16	- for bankruptcy				\$ _____
17	- for loans discharged due to closed schools				\$ _____
18	Loan principal adjustments - other				\$ _____
19	Federal Capital Contributions				\$ _____
20	Repayments of fund capital to Federal government			\$ _____	
21	Institutional Capital Contributions				\$ _____
22	Repayments of fund capital to institution			\$ _____	
23	Interest income on Loans				\$ _____
24	Other income				\$ _____
25	Reimbursements to the fund of amounts canceled on Loans made 07/01/1972 and after				\$ _____
26.1	Administrative cost allowance	\$ _____			
26.2	Collection costs	\$ _____			
26.3	Administrative cost allowance and collection costs (control)				\$ _____
	<u>Cost of loan principal and interest canceled:</u>				
27	- for teaching/military service on loans made prior to 07/01/72				\$ _____
28	- for certain subject matter teaching service (math, science, foreign languages, bilingual education)				\$ _____
29	- for all other authorized teaching service on loans made 07/01/1972 and after				\$ _____
30	- for military service on loans made 07/01/1972 and after				\$ _____
31	- for volunteer service in the Peace Corps or under the Domestic Volunteer Service Act of 1973				\$ _____
32	- for law enforcement and corrections officer service				\$ _____
33	- for child/family/early intervention service				\$ _____
34	- for nurse/medical technician service				\$ _____
35	- because of death/disability				\$ _____
36	- because of bankruptcy				\$ _____
37	Cost of loan principal and interest assigned to and accepted by the United States			\$ _____	
38	Cost of loan principal and interest canceled for loans discharged due to closed schools				\$ _____
39	Other costs or losses				\$ _____
40	Total debits and credits (sum of Fields 1.1 through 39)				\$ _____

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Fiscal Operations Report, Part III

Name of institution: \_\_\_\_\_

State: \_\_\_

Section B. Fund Activity (annual) during the 1999-2000 Award Year (July 1, 1999 through June 30, 2000)

Amount

- 1. Final adjusted Federal Capital Contribution (FCC) authorization \$ \_\_\_\_\_
- 2. FCC transferred to:
  - a. FSEOG \$ \_\_\_\_\_
  - b. FWS \$ \_\_\_\_\_
- 3. Amount of final adjusted authorized Federal Capital Contribution for Award Year 1999-2000 not requested from GAPS by June 30, 2000 (your award will be reduced by this amount next spring. see instructions) \$ \_\_\_\_\_
- 4. Institutional Capital Contribution (ICC) deposited into the Loan Fund between July 1, 1999 and June 30, 2000. \$ \_\_\_\_\_
- 5. Loans advanced to students from the Loan Fund during the 1999-2000 Award Year (minus 1999-2000 Award Year refunds) \$ \_\_\_\_\_
- 6. Administrative cost allowance claimed for the 1999-2000 award year (see instructions) \$ \_\_\_\_\_

Number of  
Borrowers  
(a)

Amount  
(b)

- 7. Total principal and interest repaid by borrowers from all sources during the 1999-2000 Award Year \_\_\_\_\_ \$ \_\_\_\_\_

Fiscal Operations Report, Part III

Name of institution: \_\_\_\_\_

State: \_\_\_

Section C - Cumulative Repayment Information as of June 30, 2000

Status of borrowers as of June 30, 2000	(a)	Number of Borrowers (b)	Amount Lent (c)	Principal Amount Outstanding (d)
1.1 Borrowers whose loans are fully retired		_____	_____	
1.2 Loans that have been purchased	\$ _____			
2. Borrowers whose loans were assigned to and officially accepted by the Department of Education as of June 30, 2000		_____	_____	_____
3. Total borrowers not in repayment status		_____		_____
4. Borrowers on schedule in repayment status		_____		_____
5.1 In default less than 240 days (monthly installments) or less than 270 days (other installments)		_____	_____	_____
5.2 In default 240 days or more (monthly installments) or 270 days or more (other installments), up to 2 years		_____	_____	_____
5.3 In default more than 2 years, up to 5 years		_____	_____	_____
5.4 In default more than 5 years		_____	_____	_____

Cohort Default Rate

My institution had less than 30 borrowers who entered repayment in the 1998-1999 Award Year  yes  no

Section D. Institutions with 30 or more borrowers who entered repayment in the 1998-1999 Award Year:

1.1 Number of borrowers who entered repayment in 1998-1999	0
1.2 Enter the number of borrowers in Field 1.1 above with loans in default by June 30, 2000	0
1.3 Cohort default rate (Field 1.2 / Field 1.1 * 100)	0.0

Section E. Institutions with less than 30 borrowers who entered repayment in the 1998-1999 Award Year:

2.1 Number of borrowers who entered repayment in:	2.2 Number of borrowers with loans in default by:
(a) 1996-1997 (07/01/1996 - 06/30/1997) 0	(a) June 30, 1998 (those in 2.1(a) only) 0
(b) 1997-1998 (07/01/1997 - 06/30/1998) 0	(b) June 30, 1999 (those in 2.1(b) only) 0
(c) 1998-1999 (07/01/1998 - 06/30/1999) 0	(c) June 30, 2000 (those in 2.1(c) only) 0
2.3 Total number of borrowers who entered repayment during the three years Fields (2.1(a) + 2.1(b) + 2.1(c))	0
2.4 Total number of borrowers with loans in default Fields (2.2(a) + 2.2(b) + 2.2(c))	0
2.5 Cohort default rate (Field 2.4 / Field 2.3 * 100)	0.0

Fiscal Operations Report, Part IV

Name of institution: \_\_\_\_\_

State: \_\_\_\_

Part IV. Federal Supplemental Educational Opportunity Grant (FSEOG) Program  
for Award Year July 1, 1999 through June 30, 2000

Section A. Federal funds authorized for FSEOG

1. Final adjusted FSEOG authorization \$ \_\_\_\_\_

Section B. Federal funds available for FSEOG expenditures

2. FWS funds transferred to and spent in FSEOG + \$ \_\_\_\_\_

3. Federal Perkins FCC funds transferred to and spent in FSEOG + \$ \_\_\_\_\_

4. 2000-2001 funds carried back and spent in 1999-2000 + \$ \_\_\_\_\_

5. Additional 2000-2001 funds carried back and spent for 2000 summer enrollment + \$ \_\_\_\_\_

6. 1998-1999 funds carried forward and spent in 1999-2000 + \$ \_\_\_\_\_

7. 1999-2000 funds carried forward to be spent in 2000-2001 - \$ \_\_\_\_\_

8. 1999-2000 funds carried back and spent in 1998-1999 - \$ \_\_\_\_\_

9. Additional 1999-2000 funds carried back and spent for 1999 summer enrollment - \$ \_\_\_\_\_

10. Total Federal funds available for 1999-2000 FSEOG (Fields 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 - 9) \$ \_\_\_\_\_

Section C. Funds to FSEOG recipients

11. Total funds to FSEOG recipients (Fields 12 + 13) \$ \_\_\_\_\_

12. Non-Federal share of funds to FSEOG recipients (25% of Field 11) \$ \_\_\_\_\_

a. Cash outlay contributed \$ \_\_\_\_\_

b. Other institutional resources designated \$ \_\_\_\_\_

Section D. Federal funds spent for FSEOG Program

13. Federal share of funds to FSEOG recipients (75% of Field 11) \$ \_\_\_\_\_

14. Administrative cost allowance claimed + \$ \_\_\_\_\_

15. Federal funds spent for FSEOG (Fields 13 + 14) \$ \_\_\_\_\_

Section E. Use of FSEOG authorization

16. Expended FSEOG authorization (Fields 7 + 8 + 9 + 15) minus (Fields 2 + 3 + 4 + 5 + 6) \$ \_\_\_\_\_

17. Unexpended FSEOG authorization (Field 1 - Field 16) (cannot be negative) \$ \_\_\_\_\_

Fiscal Operations Report, Part V

Name of institution: \_\_\_\_\_

State: \_\_\_

Part V. Federal Work-Study (FWS) Program for Award Year July 1, 1999 through June 30, 2000

Section A. Federal funds authorized for FWS

1. Final adjusted FWS authorization \$ \_\_\_\_\_

Section B. Federal funds available for FWS expenditures

2. Federal Perkins FCC funds transferred to and spent in FWS + \$ \_\_\_\_\_

3. FWS funds transferred to and spent in FSEOG - \$ \_\_\_\_\_

4. 2000-2001 funds carried back and spent in 1999-2000 + \$ \_\_\_\_\_

5. Additional 2000-2001 funds carried back and spent for 2000 summer employment + \$ \_\_\_\_\_

6. 1998-1999 funds carried forward and spent in 1999-2000 + \$ \_\_\_\_\_

7. 1999-2000 funds carried forward to be spent in 2000-2001 - \$ \_\_\_\_\_

8. 1999-2000 funds carried back and spent in 1998-1999 - \$ \_\_\_\_\_

9. Additional 1999-2000 funds carried back and spent for 1999 summer employment - \$ \_\_\_\_\_

10. Total Federal funds available for 1999-2000 FWS \$ \_\_\_\_\_  
 (Fields 1 + 2 - 3 + 4 + 5 + 6 - 7 - 8 - 9)

Section C. Total compensation for FWS

11. Total earned compensation for FWS Program \$ \_\_\_\_\_

a. On-campus expenditures \$ \_\_\_\_\_

b. Off-campus expenditures at public or private non-profit agencies \$ \_\_\_\_\_

c. Off-campus expenditures in the private (for profit) sector \$ \_\_\_\_\_

12. Total institutional share of earned compensation (see instructions) \$ \_\_\_\_\_

Section D. Funds spent from Federal share of FWS

13. Total Federal share of FWS earned compensation \$ \_\_\_\_\_

a. Compensation at Federal share not to exceed 75% (see instructions) \$ \_\_\_\_\_

b. Off-campus private (for profit) sector compensation at Federal share not to exceed 50% \$ \_\_\_\_\_

14. Administrative cost allowance claimed + \$ \_\_\_\_\_

15. Federal share of Job Location and Development Program expenditures + \$ \_\_\_\_\_

16. Total Federal funds spent for FWS (sum of Fields 13 through 15) \$ \_\_\_\_\_

Fiscal Operations Report, Part V

Name of institution: \_\_\_\_\_

State: \_\_\_

Section E. Use of FWS authorization

17. Expended FWS authorization (Fields 3 + 7 + 8 + 9 + 16) minus (Fields 2 + 4 + 5 + 6) \$ \_\_\_\_\_

18. Unexpended FWS authorization (Field 1 - Field 17) \$ \_\_\_\_\_

Section F. Information about the Job Location and Development (JLD) Program

19. Total expenditures for the JLD Program \$ \_\_\_\_\_

20. Institutional expenditures for the JLD Program (see instructions) \$ \_\_\_\_\_

21. Number of students for whom jobs were located or developed \_\_\_\_\_

22. Total earnings of the students in Field 21 above \$ \_\_\_\_\_

Section G. Information about FWS community service activities

23. Number of students in community service employment \_\_\_\_\_

24. Federal share of community service earned compensation \$ \_\_\_\_\_

25. Non-Federal share of community service earned compensation \$ \_\_\_\_\_

Section H. Information about FWS reading tutors of children and tutors in family literacy activities

26. Number of students employed as FWS reading tutors of children and tutors in family literacy activities \_\_\_\_\_

27. Federal share of earned compensation for FWS students employed as reading tutors of children and tutors in family literacy activities \$ \_\_\_\_\_

28. Total earned compensation for FWS students employed as reading tutors of children and tutors in family literacy activities \$ \_\_\_\_\_

Section I. Information about FWS mathematics tutors of children

29. Number of students employed as FWS mathematics tutors of children \_\_\_\_\_

30. Federal share of earned compensation for FWS mathematics tutors of children \$ \_\_\_\_\_

31. Total earned compensation for FWS mathematics tutors of children \$ \_\_\_\_\_

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Fiscal Operations Report, Part VI

Name of institution: \_\_\_\_\_

State: \_\_\_\_\_

Part VI. Program Summary for Award Year July 1, 1999 through June 30, 2000

Section A. Distribution of Program Recipients and Expenditures by Type of Student

Taxable & Untaxed Income Category Student Type	Federal Perkins Loan		F S E O G		F W S		(g) Unduplicated Recipients
	(a) Recipients	(b) Funds	(c) Recipients	(d) Funds	(e) Recipients	(f) Funds	
Undergraduate Dependent							
1. \$ 0 - \$ 5,999	_____	_____	_____	_____	_____	_____	_____
2. \$ 6,000 - \$11,999	_____	_____	_____	_____	_____	_____	_____
3. \$12,000 - \$23,999	_____	_____	_____	_____	_____	_____	_____
4. \$24,000 - \$29,999	_____	_____	_____	_____	_____	_____	_____
5. \$30,000 - \$41,999	_____	_____	_____	_____	_____	_____	_____
6. \$42,000 - \$59,999	_____	_____	_____	_____	_____	_____	_____
7. \$60,000 and over	_____	_____	_____	_____	_____	_____	_____
Undergraduate Independent							
8. \$ 0 - \$ 1,999	_____	_____	_____	_____	_____	_____	_____
9. \$ 2,000 - \$ 3,999	_____	_____	_____	_____	_____	_____	_____
10. \$ 4,000 - \$ 7,999	_____	_____	_____	_____	_____	_____	_____
11. \$ 8,000 - \$11,999	_____	_____	_____	_____	_____	_____	_____
12. \$12,000 - \$15,999	_____	_____	_____	_____	_____	_____	_____
13. \$16,000 - \$19,999	_____	_____	_____	_____	_____	_____	_____
14. \$20,000 and over	_____	_____	_____	_____	_____	_____	_____
15. Graduate/Professional	_____	_____	does not apply	does not apply	_____	_____	_____
16. Total	_____	_____	_____	_____	_____	_____	_____
17. Total less than full time students	_____	_____	_____	_____	_____	_____	_____
18. Total "Automatic" Zero EFC students	_____	_____	_____	_____	_____	_____	_____

Fiscal Operations Report, Part VI

Name of institution: \_\_\_\_\_

State: \_\_\_\_\_

Administrative Cost Allowance Worksheet (worksheet must be retained for audit and program reviews)

Section B. Calculating the administrative cost allowance

Step 1 Calculate the amount spent in 1999-2000 on which the administrative cost allowance is based:

- |  |   |          |
|--|---|----------|
| 1. Total compensation in FWS (amount from Part V, Section C, Field 11)                                     |   | \$ _____ |
| 2. Amount of Federal Perkins Loan funds advanced to students<br>(amount from Part III, Section B, Field 5) | + | \$ _____ |
| 3. Total funds to FSEOG recipients<br>(amount from Part IV, Section C, Field 11)                           | + | \$ _____ |
| 4. Total amount spent (Fields 1 + 2 + 3)   |   | \$ _____ |

Step 2 Calculate the administrative cost allowance

Institutions whose total amount spent was \$2,750,000 or less

- |  |   |             |
|--|---|-------------|
| 5. Enter total amount spent (Field 4)                    |   | \$ _____    |
| 6. Multiply  | x | <u>0.05</u> |
| 7. Total administrative cost allowance<br>(go to step 3) |   | \$ _____    |

Institutions whose total amount spent was more than \$2,750,000 but less than \$5,500,000

- |   |   |                     |
|---|---|---------------------|
| 8. Enter total amount spent (Field 4)   |   | \$ <u>n/a</u>       |
| 9. Subtract   | - | \$ <u>2,750,000</u> |
| 10. Expenditures over \$2,750,000 (Field 8 - Field 9)                                       |   | \$ <u>n/a</u>       |
| 11. Multiply  | x | <u>0.04</u>         |
| 12. Administrative cost allowance on expenditures over<br>\$2,750,000 (Field 10 x Field 11) |   | \$ <u>n/a</u>       |
| 13. Add administrative cost allowance on expenditures of<br>\$2,750,000                     | + | \$ <u>137,500</u>   |
| 14. Total administrative cost allowance (Field 12 + Field 13)<br>(go to step 3)             |   | \$ <u>n/a</u>       |

Institutions whose total amount spent was \$5,500,000 or more

- |   |   |                     |
|---|---|---------------------|
| 15. Enter total amount spent (Field 4)  |   | \$ <u>n/a</u>       |
| 16. Subtract  | - | \$ <u>5,500,000</u> |
| 17. Expenditures over \$5,500,000 (Field 15 - Field 16)                                     |   | \$ <u>n/a</u>       |
| 18. Multiply  | x | <u>0.03</u>         |
| 19. Administrative cost allowance on expenditures over<br>\$5,500,000 (Field 17 x Field 18) |   | \$ <u>n/a</u>       |
| 20. Add administrative cost allowance on expenditures<br>of \$5,500,000                     | + | \$ <u>247,500</u>   |
| 21. Total administrative cost allowance (Field 19 + Field 20)<br>(go to step 3)             |   | \$ <u>n/a</u>       |

Step 3 Decide how much administrative cost allowance the institution claimed:

22. How much administrative cost did the institution claim  
(the amount may be the same or less than the amount calculated in step 2)

\$ \_\_\_\_\_

23. How much administrative cost did the institution claim in each Program ?

- |  |          |
|--|----------|
| a. Federal Perkins Loan (must be same as Part III, Sect. B, Field 6) | \$ _____ |
| b. FSEOG (must be the same as Part IV, Section D, Field 14)          | \$ _____ |
| c. FWS (must be the same as Part V, Section D, Field 14)             | \$ _____ |

